

**PROPOSALS TO CHANGE CBOE/FINRA/NASD/NYSE/PHLX RULES THAT ARE  
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<b>NASDAQ Rule</b>	<b>Rule Incorporated By Reference</b>	<b>Other Exchanges' Rule Filings</b>
<b>IM-1002-4</b>	NASD ByLaws Article IV Section 8	
	NASD IM-1000-4	<a href="#">SR-FINRA-2013-025</a>
	NASD IM-1000-4	<a href="#">SR-FINRA-2013-025 Amendment 1</a>
<b>1012</b>	NASD ByLaws Article IV Section 8	
	NASD IM-1000-4	<a href="#">SR-FINRA-2013-025</a>
	NASD IM-1000-4	<a href="#">SR-FINRA-2013-025 Amendment 1</a>
<b>1017</b>	NASD 1014	
<b>1032</b>	NASD 1022	<a href="#">SR-FINRA-2015-017</a>
	FINRA 2360	<a href="#">SR-FINRA-2014-027</a>
	FINRA 2360	<a href="#">SR-FINRA-2014-045</a>
	FINRA 2360	<a href="#">SR-FINRA-2014-051</a>
<b>1050</b>	NYSE 344	<a href="#">SR-FINRA-2014-047</a>
	NYSE 345A	
	NYSE 351	<a href="#">SR-FINRA-2014-047</a>
	NYSE 351	<a href="#">SR-NYSE-2014-56</a>
	NYSE 472	<a href="#">SR-FINRA-2014-047</a>
	NYSE 472	<a href="#">SR-NYSE-2014-56</a>
	NASD 1022	<a href="#">SR-FINRA-2015-017</a>
	NASD 1050	<a href="#">SR-FINRA-2014-047</a>
	FINRA 1250	<a href="#">SR-FINRA-2015-004</a>
	FINRA 1250	<a href="#">SR-FINRA-2015-015</a>
FINRA 2241	<a href="#">SR-FINRA-2014-047</a>	
NASD 2711	<a href="#">SR-FINRA-2014-047</a>	
<b>1120</b>	NASD 1043	<a href="#">SR-FINRA-2015-015</a>
	FINRA 1250	<a href="#">SR-FINRA-2015-004</a>
	FINRA 1250	<a href="#">SR-FINRA-2015-015</a>
<b>2090A</b>	FINRA 2090	
<b>IM-2110-2</b>	FINRA 5320	
<b>IM-2110-3</b>	FINRA 5270	
<b>IM-2110-6</b>	FINRA 2232	
<b>2111A</b>	FINRA 2111	<a href="#">SR-FINRA-2014-016</a>

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<b>2140</b>	FINRA 2140	
<b>2150</b>	FINRA 2150	<a href="#">SR-FINRA-2015-030</a>
<b>IM-2150</b>	FINRA 2150	<a href="#">SR-FINRA-2015-030</a>
<b>2210</b>	FINRA 2210	<a href="#">SR-FINRA-2014-012</a>
	FINRA 2210	<a href="#">SR-FINRA-2014-045</a>
	FINRA 2210	<a href="#">SR-FINRA-2015-014</a>
	FINRA 2210	<a href="#">SR-FINRA-2015-022</a>
<b>IM-2210-1</b>	FINRA 2210	<a href="#">SR-FINRA-2014-012</a>
	FINRA 2210	<a href="#">SR-FINRA-2014-045</a>
	FINRA 2210	<a href="#">SR-FINRA-2015-014</a>
	FINRA 2210	<a href="#">SR-FINRA-2015-022</a>
<b>2211</b>	FINRA 2210	<a href="#">SR-FINRA-2014-012</a>
	FINRA 2210	<a href="#">SR-FINRA-2014-045</a>
	FINRA 2210	<a href="#">SR-FINRA-2015-014</a>
	FINRA 2210	<a href="#">SR-FINRA-2015-022</a>
<b>2212</b>	FINRA 3230	
<b>2251</b>	FINRA 2251	<a href="#">SR-FINRA-2013-056</a>
<b>IM-2260</b>	FINRA 2251	<a href="#">SR-FINRA-2013-056</a>
<b>2261</b>	FINRA 2261	
<b>2262</b>	FINRA 2262	
<b>2266</b>	FINRA 2266	
<b>2269</b>	FINRA 2269	
<b>2290</b>	FINRA 5150	
<b>2310A</b>	FINRA 2310	<a href="#">SR-FINRA-2014-006</a>
	FINRA 2310	<a href="#">SR-FINRA-2014-006</a> Amendment 1
	FINRA 5110	<a href="#">SR-FINRA-2014-003</a>
	FINRA 5110	<a href="#">SR-FINRA-2014-004</a>
	FINRA 5110	<a href="#">SR-FINRA-2014-004</a> Amendment 1
	FINRA 5110	<a href="#">SR-FINRA-2014-004</a> Amendment 2

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<b>NASDAQ Rule</b>	<b>Rule Incorporated By Reference</b>	<b>Other Exchanges' Rule Filings</b>
<b>2310A</b>	FINRA 5110	<a href="#">SR-FINRA-2014-006</a>
	FINRA 5110	<a href="#">SR-FINRA-2014-006</a> Amendment 1
<b>2340</b>	NASD 2340	<a href="#">SR-FINRA-2014-006</a>
	NASD 2340	<a href="#">SR-FINRA-2014-006</a> Amendment 1
<b>2341</b>	FINRA 2264	
<b>2342</b>	FINRA 2266	
<b>2360</b>	FINRA 2130	
<b>2361</b>	FINRA 2270	
<b>2370</b>	FINRA 3240	
<b>2430</b>	FINRA 2122	<a href="#">SR-FINRA-2014-049</a>
	NASD 2430	<a href="#">SR-FINRA-2014-049</a>
<b>2441</b>	FINRA 2124	
<b>2510</b>	NASD 2510	
<b>2520</b>	FINRA 4210	<a href="#">SR-FINRA-2015-036</a>
<b>2830</b>	NASD 2830	
<b>3010</b>	NASD 3010	<a href="#">SR-FINRA-2013-025</a>
	NASD 3010	<a href="#">SR-FINRA-2013-025</a> Amendment 1
	NASD 3010	<a href="#">SR-FINRA-2014-038</a>
	FINRA 3110	<a href="#">SR-FINRA-2014-038</a>
	FINRA 3110	<a href="#">SR-FINRA-2015-005</a>
	FINRA 3170	
<b>IM-3010-1</b>	NASD IM-3010-1	<a href="#">SR-FINRA-2013-025</a>
	NASD IM-3010-1	<a href="#">SR-FINRA-2013-025</a> Amendment 1
	FINRA 3110	<a href="#">SR-FINRA-2014-038</a>
	FINRA 3110	<a href="#">SR-FINRA-2015-005</a>
<b>IM-3010-2</b>	NASD Notice to Members 97-19	
<b>3011</b>	FINRA 3310	<a href="#">SR-FINRA-2015-004</a>
<b>3012</b>	FINRA 3120	

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<b>NASDAQ Rule</b>	<b>Rule Incorporated By Reference</b>	<b>Other Exchanges' Rule Filings</b>
<b>3013</b>	FINRA 3130	
<b>IM-3013</b>	FINRA 3130	
<b>3020</b>	FINRA 4360	
<b>3030</b>	FINRA 3270	<a href="#">SR-FINRA-2015-030</a>
<b>3040</b>	NASD 3040 FINRA 3280	<a href="#">SR-FINRA-2015-030</a> <a href="#">SR-FINRA-2015-030</a>
<b>3050</b>	NASD 3050 FINRA 3210	<a href="#">SR-FINRA-2015-029</a> <a href="#">SR-FINRA-2015-029</a>
<b>3070</b>	FINRA 4530	<a href="#">SR-FINRA-2015-011</a>
<b>3080</b>	FINRA 2263	
<b>3110A</b>	FINRA 4511	
<b>3120</b>	FINRA 2060	
<b>3150</b>	NASD 3150	
<b>3160</b>	FINRA 4230	
<b>3220A</b>	FINRA 3220	
<b>3510</b>	FINRA 4370	<a href="#">SR-FINRA-2015-004</a>
<b>4110A</b>	FINRA 4110	
<b>4140A</b>	FINRA 4140	
<b>4511A</b>	FINRA 4511	
<b>4512A</b>	FINRA 4512	
<b>4513A</b>	FINRA 4513	
<b>4514A</b>	FINRA 4514	
<b>4515A</b>	FINRA 4515	
<b>4521A</b>	FINRA 4521	
<b>5320A</b>	FINRA 5320	

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<b>NASDAQ Rule</b>	<b>Rule Incorporated By Reference</b>	<b>Other Exchanges' Rule Filings</b>
<b>7430A</b>	FINRA 7430	
<b>7440A</b>	FINRA 7440	<a href="#">SR-FINRA-2013-050</a>
	FINRA 7440	<a href="#">SR-FINRA-2013-050 Amendment 1</a>
	FINRA 7440	<a href="#">SR-FINRA-2015-048</a>
<b>7450A</b>	FINRA 7450	
<b>10000</b>	FINRA IM-12000	
	FINRA 12104	<a href="#">SR-FINRA-2014-045</a>
	FINRA 12104	<a href="#">SR-FINRA-2015-034</a>
	FINRA 12105	
	FINRA 12200	
	FINRA 12202	
	FINRA 12203	<a href="#">SR-FINRA-2015-034</a>
	FINRA 12204	
	FINRA 12205	
	FINRA 12206	
	FINRA 12207	
	FINRA 12208	
	FINRA 12209	
	FINRA 12210	
	FINRA 12211	
	FINRA 12212	
	FINRA 12213	
	FINRA 12214	<a href="#">SR-FINRA-2014-026</a>
	FINRA 12214	<a href="#">SR-FINRA-2015-003</a>
	FINRA 12300	<a href="#">SR-FINRA-2014-008</a>
	FINRA 12300	<a href="#">SR-FINRA-2014-008 Amendment 1</a>
	FINRA 12301	
	FINRA 12302	
	FINRA 12303	
	FINRA 12304	
	FINRA 12305	
	FINRA 12306	
	FINRA 12307	<a href="#">SR-FINRA-2014-008</a>

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<b>NASDAQ Rule</b>	<b>Rule Incorporated By Reference</b>	<b>Other Exchanges' Rule Filings</b>
<b>10000</b>	FINRA 12307	<a href="#">SR-FINRA-2014-008 Amendment 1</a>
	FINRA 12308	
	FINRA 12309	
	FINRA 12310	
	FINRA 12311	
	FINRA 12312	
	FINRA 12313	
	FINRA 12314	
	FINRA 12400	
	FINRA 12401	
	FINRA 12402	
	FINRA 12403	
	FINRA 12404	
	FINRA 12405	
	FINRA 12406	
	FINRA 12407	<a href="#">SR-FINRA-2015-034</a>
	FINRA 12408	
	FINRA 12409	
	FINRA 12410	
	FINRA 12500	
	FINRA 12501	
	FINRA 12502	
	FINRA 12503	
	FINRA 12504	
	FINRA 12505	
	FINRA 12506	
	FINRA 12507	
	FINRA 12508	
	FINRA 12509	
	FINRA 12510	
	FINRA 12511	
	FINRA 12512	
	FINRA 12513	
	FINRA 12514	
	FINRA 12600	

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<b>NASDAQ Rule</b>	<b>Rule Incorporated By Reference</b>	<b>Other Exchanges' Rule Filings</b>
<b>10000</b>	FINRA 12601	<a href="#">SR-FINRA-2015-003</a>
	FINRA 12602	
	FINRA 12603	
	FINRA 12604	
	FINRA 12605	
	FINRA 12606	
	FINRA 12607	
	FINRA 12608	
	FINRA 12609	
	FINRA 12700	
	FINRA 12701	<a href="#">SR-FINRA-2015-034</a>
	FINRA 12702	
	FINRA 12800	<a href="#">SR-FINRA-2014-026</a>
	FINRA 12801	
	FINRA 12805	
	FINRA 12900	<a href="#">SR-FINRA-2014-026</a>
	FINRA 12901	<a href="#">SR-FINRA-2014-026</a>
	FINRA 12902	<a href="#">SR-FINRA-2014-026</a>
	FINRA 12903	<a href="#">SR-FINRA-2014-026</a>
	FINRA 12904	
	FINRA 13000	
	FINRA IM-13000	
	FINRA 13100	<a href="#">SR-FINRA-2014-028</a>
	FINRA 13100	<a href="#">SR-FINRA-2015-034</a>
	FINRA 13101	
	FINRA 13102	<a href="#">SR-FINRA-2015-034</a>
	FINRA 13103	<a href="#">SR-FINRA-2015-034</a>
	FINRA 13104	<a href="#">SR-FINRA-2014-045</a>
	FINRA 13104	<a href="#">SR-FINRA-2015-034</a>
	FINRA 13105	
	FINRA 13200	
	FINRA 13201	
	FINRA 13202	
	FINRA 13203	<a href="#">SR-FINRA-2015-034</a>
	FINRA 13204	

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<b>NASDAQ Rule</b>	<b>Rule Incorporated By Reference</b>	<b>Other Exchanges' Rule Filings</b>
<b>10000</b>	FINRA 13205	
	FINRA 13206	
	FINRA 13207	
	FINRA 13208	
	FINRA 13209	
	FINRA 13210	
	FINRA 13211	
	FINRA 13212	
	FINRA 13213	
	FINRA 13214	<a href="#">SR-FINRA-2014-026</a>
	FINRA 13214	<a href="#">SR-FINRA-2015-003</a>
	FINRA 13300	<a href="#">SR-FINRA-2014-008</a>
	FINRA 13300	<a href="#">SR-FINRA-2014-008 Amendment 1</a>
	FINRA 13301	
	FINRA 13302	
	FINRA 13303	
	FINRA 13304	
	FINRA 13305	
	FINRA 13306	
	FINRA 13307	<a href="#">SR-FINRA-2014-008</a>
	FINRA 13307	<a href="#">SR-FINRA-2014-008 Amendment 1</a>
	FINRA 13308	
	FINRA 13309	
	FINRA 13310	
	FINRA 13311	
	FINRA 13312	
	FINRA 13313	
	FINRA 13314	
	FINRA 13400	
	FINRA 13401	
	FINRA 13402	
	FINRA 13403	
FINRA 13404		
FINRA 13405		
FINRA 13406		



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<b>10000</b>	FINRA 13407	
	FINRA 13408	
	FINRA 13409	
	FINRA 13410	<a href="#">SR-FINRA-2015-034</a>
	FINRA 13411	
	FINRA 13412	
	FINRA 13413	
	FINRA 13414	
	FINRA 13500	
	FINRA 13501	
	FINRA 13502	
	FINRA 13503	
	FINRA 13504	
	FINRA 13505	
	FINRA 13506	
	FINRA 13507	
	FINRA 13508	
	FINRA 13509	
	FINRA 13510	
	FINRA 13511	
	FINRA 13512	
	FINRA 13513	
	FINRA 13514	
	FINRA 13600	
	FINRA 13601	<a href="#">SR-FINRA-2015-003</a>
	FINRA 13602	
	FINRA 13603	
	FINRA 13604	
	FINRA 13605	
	FINRA 13606	
	FINRA 13607	
	FINRA 13608	
FINRA 13609		
FINRA 13700		
FINRA 13701	<a href="#">SR-FINRA-2015-034</a>	

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<b>NASDAQ Rule</b>	<b>Rule Incorporated By Reference</b>	<b>Other Exchanges' Rule Filings</b>
<b>10000</b>	FINRA 13702	
	FINRA 13800	<a href="#">SR-FINRA-2014-026</a>
	FINRA 13801	
	FINRA 13803	
	FINRA 13804	
	FINRA 13805	
	FINRA 13806	
	FINRA 13900	<a href="#">SR-FINRA-2014-026</a>
	FINRA 13901	<a href="#">SR-FINRA-2014-026</a>
	FINRA 13902	<a href="#">SR-FINRA-2014-026</a>
	FINRA 13903	<a href="#">SR-FINRA-2014-026</a>
	FINRA 13904	
	FINRA 13905	
<b>11860</b>	FINRA 11860	
<b>11870</b>	FINRA 11870	
<b>NOM III-7</b>	CBOE 4.11	<a href="#">SR-CBOE-2015-008</a>
	CBOE 4.11	<a href="#">SR-CBOE-2015-065</a>
<b>NOM III-9</b>	CBOE 4.12	
<b>NOM XIII-3</b>	CBOE 12.3	<a href="#">SR-CBOE-2014-039</a>
	CBOE 12.3	<a href="#">SR-CBOE-2014-039</a> Amendment 1
	CBOE 12.3	<a href="#">SR-CBOE-2014-073</a>
	CBOE 12.3	<a href="#">SR-CBOE-2014-091</a>
	CBOE 12.3	<a href="#">SR-CBOE-2015-044</a>
	CBOE 12.3	<a href="#">SR-CBOE-2015-077</a>
	NYSE 431	
<b>NOM XIII-5</b>	CBOE 13.4	
<b>NOM XIV-5</b>	Phlx 1001A	
	CBOE 24.4	<a href="#">SR-CBOE-2015-016</a>
<b>NOM XIV-7</b>	Phlx 1001A	
	CBOE 24.4A	
	CBOE 24.4B	